08-05-08

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

1 FN 3692

In Re the Application of:

PURCELL

AUG 0 4 2008

SIPE

Serial No.: 10/034,872

Filèd: December 28, 2001

"METHOD AND APPARATUS FOR INFORMING INVESTORS FOR JUDGING. SELECTING, AND HOLDING INVESTMENT PORTFOLIO PLANS THAT OFFER OPTIMAL PROSPECTS FOR THEIR LONG-TERM FINANCIAL PLANS, GOALS, AND PRIORITIES"

Art Unit: 3692

Examiner: Clement B. Graham

APPLICANT'S STATEMENT ON SUBSTANCE OF INTERVIEW

COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450

Dear Sir:

Following is the applicant's statement of the substance of the applicant-requested telephone interview held July 23, 2008.

Respectfully submitted,

W. Richard Purcell, Jr.

810 S. Lashley Boulder, CO 80305 303-494-0399

Applicant's Statement of Substance of Applicant-Requested Interview

On June 30, 2008, applicant Purcell submitted a request for a telephone interview regarding his application 10/034,872, requesting participation of a supervisor and requesting discussion of deficiencies stated in his prior submissions regarding the prior art cited as the basis for rejection of his independent claims.

On July 23 a telephone interview was held between examiner Graham and the applicant.

The interview did not meet the applicant's requests regarding either participants or topic discussed.

No supervisor participated.

The applicant asked for discussion of the prior art cited as the basis for the claim rejections, stating his view that the cited prior art had been misrepresented, and that its deficiencies stated in the applicant's previously submitted Requests for Reconsideration had not been considered. The examiner stated that the applicant's concerns about the cited prior art did not matter because there were problems with the applicant's claims, and kept the discussion focused on step one in the applicant's claim 1.

No agreement was reached.

Respectfully submitted,

Date: 8-4-2008

W. Richard Purcell, Jr.